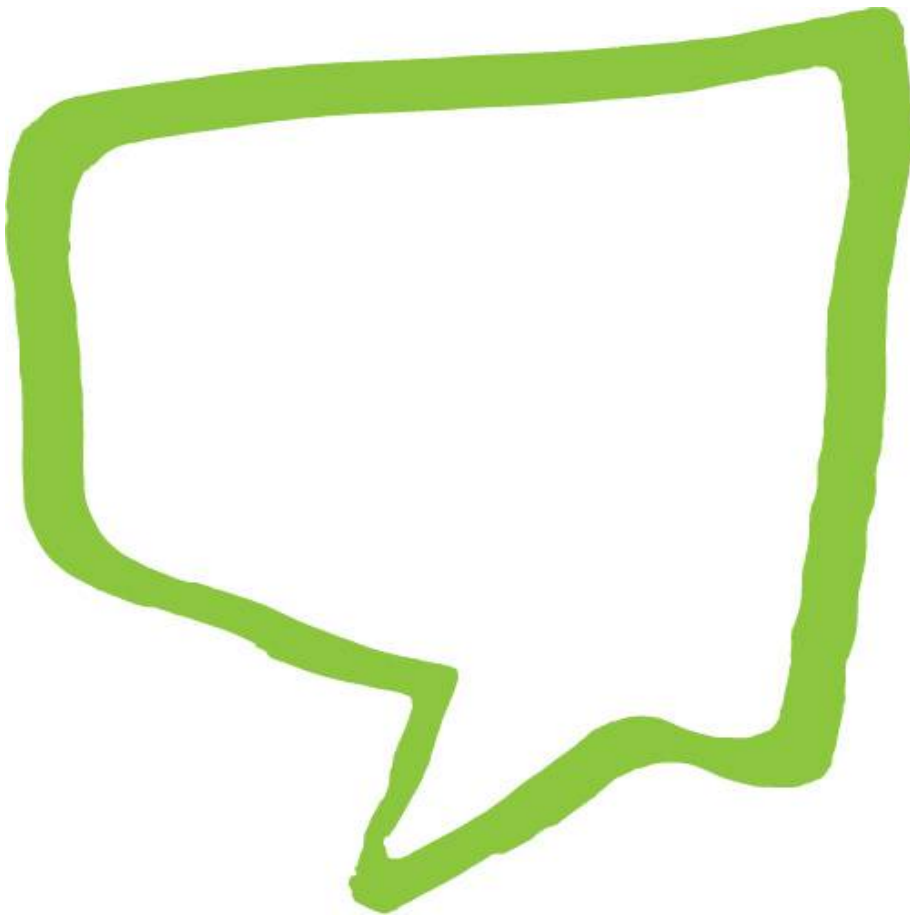


# Progress Report

Southampton City Council  
Audit 2008/09 & Audit 2009/10  
September 2009



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## **Status of our reports**

The Statement of Responsibilities of Auditors and Audited Bodies issued by the Audit Commission explains the respective responsibilities of auditors and of the audited body. Reports prepared by appointed auditors are addressed to non-executive directors/ members or officers. They are prepared for the sole use of the audited body. Auditors accept no responsibility to:

- any director/member or officer in their individual capacity; or
  - any third party.
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# Progress with 2008/09 audit and inspection

Report	AC key contact	Authority contact	Expected report	Reported to Audit Committee	Comment
Audit plan 2008/09	Mike Bowers	Audit Committee	March 2008	28 March 2008  24 June 2009	Plan finalised and issued.  Supplementary fee plan issued and finalised.
<b>Financial statements</b>					
Interim audit memorandum (If appropriate)	Mike Bowers	Carolyn Williamson	May 2009	N/A	There was nothing that we needed to bring to the attention of the Audit Committee  We confirm that Internal Audit do comply with the Cipfa Code of Practice for Internal Audit in Local Government (2006)

Report	AC key contact	Authority contact	Expected report	Reported to Audit Committee	Comment
Annual Governance Report (ISA260)	Kate Handy	Standards and Governance Committee	September 2009	23 September 2009	Included on the agenda for discussion at the Audit Committee on 23 September 2009
Accounts opinion	Kate Handy	Standards and Governance Committee	September 2009	23 September 2009	As above
Final Accounts memorandum (If appropriate)	Mike Bowers	Carolyn Williamson	October 2009	N/A	There are no issues that we need to raise outside of the Annual Governance Report
<b>Use of Resources</b>					
Use of Resources	Kate Handy	Brad Roynon	December 2008	19 March 2009	The report has been agreed, finalised and issued.
Data Quality	Mike Bowers	Joy Wilmot-Palmer	March 2009	19 March 2009	The report has been agreed, finalised and issued.
Review of SSP Implementation	Jane Burns	Carolyn Williamson	December 2008	15 Dec. 2008	This report has been agreed, finalised and issued.

<b>Report</b>	<b>AC key contact</b>	<b>Authority contact</b>	<b>Expected report</b>	<b>Reported to Audit Committee</b>	<b>Comment</b>
Housing management performance	Sara Kulay	Nick Murphy	September 2008	24 Sept. 2008	The findings were presented to the Audit Committee on 24 September 2008.
Review of Southampton health and wellbeing community planning	Angela Blowman	Joy Wilmot - Palmer	December 2008	23 Sept. 2009	<p>A one page summary report and action plan has been sent to the Chief Executive of the City Council, the PCT and Southampton University Hospitals NHS Trust (SUHT) and is attached at Appendix 1 for your information.</p> <p>The audit and the process for agreeing the report and action plan was a new approach for both auditors and the audited bodies involved, which has included a number of learning points.</p>
<b>Inspection</b>					

Report	AC key contact	Authority contact	Expected report	Reported to Audit Committee	Comment
Direction of Travel Report	Linda Krywald	Brad Roynon	March 2009	19 March 2009	The DoT statement was reported within the 2007/08 Annual Audit & Inspection Letter.
Annual Audit and Inspection Letter	Kate Handy /Linda Krywald	Audit Committee	December 2009		

# Progress with 2009/10 audit and assessment

Report	AC key contact	Authority contact	Expected report	Reported to Audit Committee	Comment
Audit plan 2009/10	Kate Handy	Audit Committee	March 2009	24 June 2009	<p>The initial fee letter for 2009/10 was presented to the Audit Committee at its 24 June 2009 meeting.</p> <p>A more detailed opinion plan will be prepared and discussed with officers when the audit for 2008/09 as been completed.</p>
Area Assessment Plan	Linda Krywald	Audit Committee	March 2009	24 June 2009	<p>The letter setting out the approach and fee for the Organisational Assessment and Area Assessment was reported to the Audit Committee at its meeting on 24 June 2009.</p>
<b>Use of Resources</b>					
Highways Partnership Project	Tim Thomas	Nick Johnson	Updates as necessary	23 September 2009	<p>An initial update report was discussed with key officers in August 2009. This report will be presented to the next meeting of the Audit Committee.</p>

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# The Audit Commission

The Audit Commission is an independent watchdog, driving economy, efficiency and effectiveness in local public services to deliver better outcomes for everyone.

Our work across local government, health, housing, community safety and fire and rescue services means that we have a unique perspective. We promote value for money for taxpayers, auditing the £200 billion spent by 11,000 local public bodies.

As a force for improvement, we work in partnership to assess local public services and make practical recommendations for promoting a better quality of life for local people.

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For further information on the work of the Commission please contact:

Audit Commission, 1st Floor, Millbank Tower, Millbank, London SW1P 4HQ

Tel: 0844 798 1212 Fax: 0844 798 2945 Textphone (minicom): 0844 798 2946

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# Appendix 1 – Southampton Health and Wellbeing Community Planning

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## Management summary

- 1 During 2008/09, we carried out a review of how well Southampton City Council, Southampton City Primary Care Trust and Southampton University Hospitals NHS Trust (the three partners) are working in partnership to plan and commission services to improve the health and well being outcomes for local people. In particular, we were looking at how the local understanding of health needs is used to commission services and how proposed improvements are supported by associated business and resourcing plans. To help us with this, we selected, in consultation with chief officers, alcohol harm as a tracer, given that this is a key LSP priority issue.
  - 2 We have discussed our findings and conclusions with the three partners and have agreed the following action plan, detailing the key recommendations made. Overall, we concluded that the partners have clear strategic ambitions to address the problem of alcohol harm, and through the Safe City Partnership, are working to develop a framework to deliver these. However, there is a need to ensure that all partners are appropriately engaged in both the development and delivery of need based improvement priorities, which are supported by fully resourced delivery plans. To maximise the successful delivery of these and to promote value for money, it is important that partnership initiatives are clearly linked to partners' own organisational plans, as well as the plans of other LSP working groups and that the monitoring and management arrangements are clear and effective.
  - 3 Our detailed work focused on arrangements between the three partners for addressing alcohol harm. We did not assess whether the conclusions made also applied to partnership working with other organisations involved with the alcohol harm agenda, such as the police, the fire and rescue service or Hampshire Partnership Trust. Additionally, we did not directly assess whether the issues raised also reflect arrangements across other LSP partnerships. However, we do feel that our recommendations may be useful in helping to maximise the efficiency and effectiveness of local partnership working generally. As such, we would encourage the LSP and the
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## Appendix 1 – Southampton Health and Wellbeing Community Planning

individual partners to use the learning from this review to help them assess the effectiveness of other partnerships that are currently in place or are being planned.

- 4 We would like to thank Southampton City Council, Southampton City PCT, and Southampton University Hospitals NHS Trust for their input and co-operation with this review. We are pleased that they have accepted the recommendations made in this action plan and that steps are being taken to address the key issues raised.

DRAFT

# Action Plan

Recommendation	Priority	Link to evidence	Link to relevant standards	Positive outcome expected (savings, reduced risks, better value for money)	Consequences of failing to implement recommendation	Cost of recommendation (where significant)	Date reported to the Board / Committee	Officer responsible	Implement by when
<b>The Council, PCT and Trust should work together to:</b>									
R1  Consider other LSP partnership arrangements to ensure that there is adequate strategic engagement from both health and wellbeing commissioning and provider organisations and that the needs assessment, commissioning and resourcing plans are sufficient to deliver improvements in agreed LAA targets and outcomes.	High	It is possible that issues we have identified in alcohol harm are reflected in other joint planning for health and wellbeing.		Improved: - value for money, - performance management and - outcomes for all partnership targets.	Poor value for money; poor performance management; potentially poor patient/user experience and outcome.	Not significant	SUHT 23rd March 2009  SCPCT 22 Sept 2009  SCC 23 Sept. 2009	Joy Wilmot-Palmer Assistant Chief Executive (Strategy), SCC.	Ongoing with first review completed by January 2010

## Appendix 1 – Southampton Health and Wellbeing Community Planning

Recommendation	Priority	Link to evidence	Link to relevant standards	Positive outcome expected (savings, reduced risks, better value for money)	Consequences of failing to implement recommendation	Cost of recommendation (where significant)	Date reported to the Board / Committee	Officer responsible	Implement by when
R2  Ensure that the arrangements for joined-up commissioning of alcohol services by the PCT and Council are driven by shared priorities, have agreed and achievable resource plans, identify and implement shared care pathways and are informed by provider experience and expertise, to properly meet the needs of the Southampton population. This needs to be reflected into the individual partners' budgets.	High	There is no joined-up commissioning for alcohol services by the PCT and Council and priorities for joint commissioning are not yet agreed	SfBH C6, C18 and C21  ALE KLoE 5.1 Strategic planning 5.2 Patient experience  UoR KLoE 1.2 Costs / Efficiencies 2.1 Commissioning 2.2 Use of information	Improved: - value for money, - performance management and - outcomes for the alcohol harm reduction target.	Poor value for money; poor performance management; potentially poor patient/user experience and outcome.	Not significant	SUHT 23rd March 2009  SCPCT 22 Sept. 2009  SCC 23 Sept.2009	Jane Brentor, Head of Health & Community Care, SCC  Andrew Mortimore, Public Health Director  Gemma Tindell, Head of Planning, SUHT	April 2010

NB: ALE= Auditors Local Evaluation.  
SfBH = Standards for Better Health

## Appendix 1 – Southampton Health and Wellbeing Community Planning

	Recommendation	Priority	Link to evidence	Link to relevant standards	Positive outcome expected (savings, reduced risks, better value for money)	Consequences of failing to implement recommendation	Cost of recommendation (where significant)	Date reported to the Board / Committee	Officer responsible	Implement by when
	<p>R3</p> <p>Improve partnership and individual organisations' operational plans to reduce alcohol harm so that:</p> <ul style="list-style-type: none"> <li>plans are aligned with each other, outcome-focused, measurable and adequately resourced;</li> <li>robust performance monitoring and management arrangements are in place, including measures to ensure sound data quality; and</li> <li>adequate links are made between partnership groups to cover the whole alcohol harm agenda to improve access to the agreed pathways of care wherever people come into contact with services.</li> </ul>	High	<p>The delivery plans of e.g. the Safe City Partnership are not sufficiently outcome focussed or measurable. Performance management and data quality are inadequate. Delivery plans are not reflected in Council, PCT or Trust operational plans. Action plans to address alcohol harm are not fully resourced.</p>	<p>SfBH C6, C18 and C21</p> <p>ALE KLoE 5.1 Strategic planning 5.2 Patient experience 5.3 Performance management and data quality</p> <p>UoR KLoE 1.2 Costs/ Efficiencies</p> <p>2.1 Commissioning</p> <p>2.2 Use of information</p>	<p>Improved:</p> <ul style="list-style-type: none"> <li>- value for money,</li> <li>- performance management and</li> <li>- outcomes for the alcohol harm reduction target.</li> </ul>	<p>Poor value for money; poor performance management; potentially poor patient / user experience and outcome.</p>	<p>Not significant</p>	<p>SUHT 23rd March 2009</p> <p>SCPCT 22 Sept. 2009</p> <p>SCC 23 Sept. 2009</p>	<p>Joy Wilmot-Palmer, Assistant Chief Executive (Strategy), SCC.</p> <p>Suki Sitaram, Head of Policy and Improvement, SCC</p> <p>Andrew Mortimore, Public Health Director</p> <p>Caspar Ridley, Director of Strategy and Business, SUHT</p>	<p>April 2010</p>

NB: ALE= Auditors Local Evaluation.  
SfBH = Standards for Better Health